

11/08/01
JC931 U.S. PTO

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PATENT
674523-2013
Attorney Docket No.

A

Patent Application Transmittal
(only for new nonprovisional applications
under 37 C.F.R. 1.53(b))
Correspondence Address:
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11002 U.S. PTO
10/008610
11/08/01

Date: November 8, 2001

TO: COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
Washington, D.C. 20231

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Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the names of:

Patrick AEBISCHER, Susan Mary KINGSMAN, Stuart NAYLOR and Nicholas MAZARAKIS

Entitled: Lentiviral-Mediated Growth Factor Gene Therapy For Neurodegenerative Diseases

- ☒ This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee.
- ☒ Undersigned attorney hereby states that this is an application of a Small Entity.

The following are enclosed:

- ☒ Specification (69 Pages), Abstract (1 page; p. 73)
- ☒ 9 Sheet(s) of Drawings
- ☒ 30 Claims (including 10 independent claims) (pages 70-72)
- _____ PCT/ISA/220, 210, PCT/IPEA/401, 409, 416
- _____ Petition To Make Special
- _____ Preliminary Amendment To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures
- _____ Our checks totaling \$, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee
\$740.00 (370.00).....000.00

Total Number of Claims in excess
of 20 at \$18.00 (9.00) each000.00

Number of Independent Claims in
excess of 3 at \$84.00 (42.00) each000.00

Multiple Dependent Claim Fee
at \$280.00 (140.00).....000.00

Total Filing Fee.....000.00

Assignment Recording Fee \$40.00080.00

Petition to Make Special Fee \$130.00000.00

 X Oath or Declaration and Power of Attorney

 New signed X unsigned
 Copy from prior application (37 C.F.R. 1.63(d))

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 X The following application from which priority is claimed, should be available to
the USPTO:

60/247,604 filed November 8, 2000

The filing fee will be paid upon receipt of a Notice to File Missing Parts; and the
response thereto may include a Preliminary Amendment reducing the number of claims and ergo
the claim fee. Please accord this application a November 8, 2001 filing date.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: Thomas J. Kowalski
Thomas J. Kowalski
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(212) 588-0800

EXPRESS MAIL

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Date of Deposit: November 8, 2001

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Islande Dupoux

(Typed or printed name of person mailing paper or fee)

Islande Dupoux
(Signature of person mailing paper or fee)

Full name of 1st co-inventor: Patrick AEBISCHER
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Full name of 3rd co-inventor: Stuart Naylor
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Full name of 4th co-inventor: Nicholas Mazarakis
Residence: Oxford BioMedica (UK) Limited
Medawar Centre, Robert Robinson Avenue, The Oxford Science Park
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Post Office: _____
Citizenship: Great Britain

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CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION

Attorney Docket No. : 674523-2013

Title : **LENTIVIRAL-MEDIATED GROWTH FACTOR GENE
THERAPY FOR NEURODEGENERATIVE DISEASES**

Filed : November 8, 2001

Applicant : AEBISCHER et al.

Mailed : Via Express Mail Label #EV 001580108 US

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Enclosed: Transmittal
Application for Patent
(73 total pages, 69 pages of specification, 3 pages, pp. 70-72, of claims
numbered 1-30, 1 page of abstract, p. 73)
Drawings (9 pages, Figures 1A-E, 2A-D, 3A-F, 4A-F, 5A-B, 6A-H, 7A-D, 8A-E,
and 9A-D)
Declaration for Patent Application and Power of Attorney (unsigned)
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